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| TRANSMITTAL LETTER TO | 42965-P110US | | | | | | |
|---|--|--------------------------------------|--|--|--|--|--|
| | DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | | |
| INTERNATIONAL APPLICATION NO. PCT/IB2004/001465 | INTERNATIONAL FILING DATE 6 April 2004 | , PRIORITY DATE CLAIMED 7 April 2003 | | | | | |
| TITLE OF INVENTION IDENTIFICATION MEANS | | | | | | | |
| APPLICANT(S) FOR DO/EO/US OAKES, Allstair | | | | | | | |
| Applicant herewith submits to the United Sta | Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| 2. This is a SECOND or SUBSEQUENT s | 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. The US has been elected (Article 31). | | | | | | | |
| 5. A copy of the International Application | n as filed (35 U.S.C. 371(c)(2)) | | | | | | |
| a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b. has been communicated by | b. has been communicated by the International Bureau. | | | | | | |
| c. is not required, as the applic | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. An English language translation of the | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | |
| a is attached hereto. | | | | | | | |
| b. has been previously submit | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. Amendments to the claims of the Inte | ernational Application under PCT Article 19 | (35 U.S.C. 371(c)(3)) | | | | | |
| a are attached hereto (require | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| b. have been communicated | b. have been communicated by the International Bureau. | | | | | | |
| c. have not been made; how | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| d. have not been made and v | d. And have not been made and will not be made. | | | | | | |
| 8. An English language translation of the | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. An oath or declaration of the inventor | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)). | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | |
| Items 11 to 20 below concern document(s | s) or information included: | | | | | | |
| 11. An Information Disclosure Statement | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. An assignment document for recording | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. A preliminary amendment. | A preliminary amendment. | | | | | | |
| 14. An Application Data Sheet under 37 (| An Application Data Sheet under 37 CFR 1.76. | | | | | | |
| 15. A substitute specification. | A substitute specification. | | | | | | |
| 16. A power of attorney and/or change of | A power of attorney and/or change of address letter. | | | | | | |
| 17. A computer-readable form of the sequ | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | |
| 18. A second copy of the published Interr | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | |
| 19. A second copy of the English language | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | |
| | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION | No. (if known | see 37 CFR 1.5) | 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/IB2004/001465 | | ATTORNEY'S DOCKET NUMBER 42965-P110US | |
|--|---------------|--|---|------------------------|---------------------------------------|--------------|
| 20. Other items or information: Substitute Specification w/mark-ups; Substitute Specification w/o mark-ups; IPRP with amended pages; postal receipt card. | | | | | | |
| The followin | g fees have b | een submitted | | | CALCULATIONS | PTO USE ONLY |
| 21. Sasic national fee (37 CFR 1.492(a)) | | | | \$ 300.00 | | |
| 22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared | | | | | \$ 200.00 | |
| - | | | sions of PCT Article 33(1)-(4) | | | |
| 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$ 400.00 | | |
| тот | AL OF 21, 22 | 2 and 23 = | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets Ext | ra Sheets | Number of each additional 50 or fraction RATE thereof (round up to a whole number) | | | | |
| - 100 = | /50 = | × \$250 | | \$ | · | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | | \$ | |
| CLAIMS | NUMB | MBER FILED NUMBER EXTRA | | RATE | \$ | |
| Total daims | | 24 - 20 = | 4 | × \$ 50 | \$ 200.00 | |
| Independent claims | 3 - 3 = | | x \$200 | \$ | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | + \$360 | \$ | | |
| TOTAL OF ABOVE CALCULATIONS = | | | \$ 1,100.00 | | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | | |
| SUBTOTAL = | | | | | \$ 550.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | \$ | | | |
| TOTAL NATIONAL FEE = | | | | \$ 550.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | |
| TOTAL FEES ENCLOSED = | | | | \$ 550.00 | | |
| | | | | Amount to be refunded: | \$ | |
| | | | | | Amount to be charged | \$ |

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| a. A check in the am | nount of \$ 550.00 | to cover the above | fees is enclosed. | | | | |
|--|---|---------------------|--|--|--|--|--|
| | Deposit Account No. <u>23-2426</u> of this sheet is enclosed. | in the amount of \$ | to cover the above fees. | | | | |
| | r is hereby authorized to charge 426 . A duplicate copy of thi | • | n may be required, or credit any overpayment to Deposit | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | |
| SEND ALL CORRESPOND Sanford E. Warren, J. Winstead Sechrest & P.O. Box 50784 Dallas, Texas 75201 | ENCE TO: | enumy status. | SIGNATURE Sanford E. Warren, Jr. NAME 33,219 REGISTRATION NUMBER | | | | |